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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/894.547 Application Number DEC 1 8 200 **TRANSMITTAL** 11: 11 June 28, 2001 Filing Date **FORM** William R **First Named Inventor** 3739 (to be used for all correspondence after initial filing) Group Art Unit **Examiner Name** 214001-00810-1 Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-relatedPapers Appeal Communication to Group Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) **Provisional Application** Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please **Extension of Time Request** identify below): Terminal Disclaimer **POSTCARD Express Abandonment Request** PTO/SB/08A Request for Refund 9 REFERENCES 1 Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Eckert Seamans Cherin & Mellott, LLC Individual name Debra Z.Anderson Signature Date October 23, 2001 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 23, 2001 Denj≸e Bagnato∠ Typed or printed name October 23, 2001 Signature Date

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of:

: LOCALIZED DELIVERY : TO A TARGET SURFACE

W.R. WAGNER ET AL.

Serial No.: 09/894,547

Atty. Docket No. 214001-00810-1

Filed: June 28, 2001

INFORMATION DISCLOSURE STATEMENT

October 23, 2001

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

DEC 1 8 2001

Pursuant to the provisions of 37 C.F.R. Sections 1.56, 1.97 and 1.98, Applicant submits the documents listed on the attached Form PTO/SB/08A for consideration during prosecution of the captioned patent application.

This statement is made solely for the purpose of compliance with the aboveidentified rules and is not intended to be a substitute for an independent evaluation of the cited art by the Examiner or an independent search by the Examiner and no representation of any nature is made or intended by this statement.

Respectfully submitted,

Debra Z. Anderson

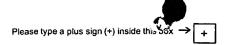
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600 Grant Street, 44th Floor Pittsburgh, Pennsylvania 15219

Attorney for Applicant

(412) 566-1910





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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

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Complete if Known					
Application Number	09/894,547				
Filing Date	June 28, 2001				
First Named Inventor	W.R. Wagner				
Group Art Unit	3739				
Examiner Name	N/A				
Attorney Docket Number	214001-00810-1				

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	•		U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Number	cument (ind Code <sup>2</sup> if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	Α	5,304,121		Sahatjian	04/19/1994	50			
	В	5,478,837		Rodgers et al.	12/26/1995	5 6			
	С	5,534,261		Rodgerstet al.	07/09/1996	, 0, 11			
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	F	5,948,428		Lee et al.	09/07/1999	2007 2007 2007			
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	K	5,977,252		Wagner et al.	11/02/1999	37			
		5,981,471		Papathanassiu	11/09/1999	6			
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*			Foreign Patent Do		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY		
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.